PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	ATTORNEY'S DOCKET NUMBER 78104089/N16948
	U.S. APPLICATION NO. (If known. See 37 CFR 1.5) 10/533375

INTERNATIONAL APPLICATION NO. PCT/GB2003/004676	INTERNATIONAL FILING DATE 30 OCT 2003	PRIORITY DATE CLAIMED 30 OCT 2002

TITLE OF INVENTION
IDENTIFICATION DEVICE, ANTI-COUNTERFEITING APPARATUS AND METHOD

APPLICANT(S) FOR DO/EO/US
David A. MENDELS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.

3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. ☒ The US has been elected (Article 31).

5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))

     a. ☐ is attached hereto (required only if not communicated by the International Bureau).

     b. ☒ has been communicated by the International Bureau.

     c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

     a. ☐ is attached hereto.

     b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

     a. ☐ are attached hereto (required only if not communicated by the International Bureau).

     b. ☐ have been communicated by the International Bureau.

     c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.

     d. ☒ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☒ A preliminary amendment.

14. ☐ An Application Data Sheet under 37 CFR 1.76.

15. ☐ A substitute specification.

16. ☐ A power of attorney and/or change of address letter.

17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☒ Other items or information: ISR and IPER (duplicate copies)

I certify that this correspondence is being deposited with the United States Postal Service as Express Mail - Post Office to Addressee, in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
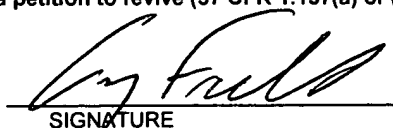
EV508555441US	29 Apr. 2005	
Express Mail Label No.	Date of Deposit	Signature

JC20 Rec'd PCT/PTO 2 9 APR 2005

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) **10/533375**	INTERNATIONAL APPLICATION NO. PCT/GB2003/004676	ATTORNEY'S DOCKET NUMBER 78014089/N16948

The following fees have been submitted	CALCULATIONS	PTO USE ONLY
21. ☒ Basic national fee...$300	$ 300.00	
22. ☒ Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)...$100 All other situations..$200	$ 200.00	
23. ☒ Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority...$100 International Search Report prepared and provided to the Office........$400 All other situations..$500	$ 400.00	
TOTAL OF 21, 22 and 23 =	$ 900.00	

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is **$250** for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	-0-	
- 100 =	/50 =			x $250	$	

Surcharge of **$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).	$ 130.00	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	$	
Total claims	20 - 20 =	-0-	x $ 50	$ -0-	
Independent claims	0 - 3 =	-0-	x $200	$ -0-	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	-0-		+ $360	$ -0-	
		TOTAL OF ABOVE CALCULATIONS =		$1,030.00	
☒ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				515.00	
		SUBTOTAL =		$ 515.00	

Processing fee of **$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). +	$ -0-	
TOTAL NATIONAL FEE =	$ 515.00	

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property +	$ -0-	
TOTAL FEES ENCLOSED =	$ 515.00	

	Amount to be refunded:	$
	Amount to be charged:	$

a. ☐ A check in the amount of $ _____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of $ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-2055. A duplicate copy of this sheet is enclosed.

d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Craig A. Fieschko
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8000 Excelsior Drive, Suite 401
Madison, WI 53717-1914

SIGNATURE

NAME CRAIG FIESCHKO

REGISTRATION NUMBER 39668